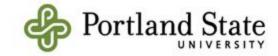
Basin Analysis GIS for USDA-NRCS National Water and Climate Center Water Forecasting

Geoffrey Duh Geography, Portland State University

> GIS in Action 19th Annual Conference March 30, 2011. PSU, Portland, Oregon





Acknowledgements

 The project was funded by USDA-NRCS National Water and Climate Center (CESU Agreement #: 68-3A75-4-101)

Special thanks to:

Jim Marron, Tom Perkins, Angus Goodbody USDA-NRCS-NWCC

David Banis, James Manzione, Ray Hennings, Joe Poracsky, Heejun Chang PSU Center for Spatial Analysis & Research (CSAR)

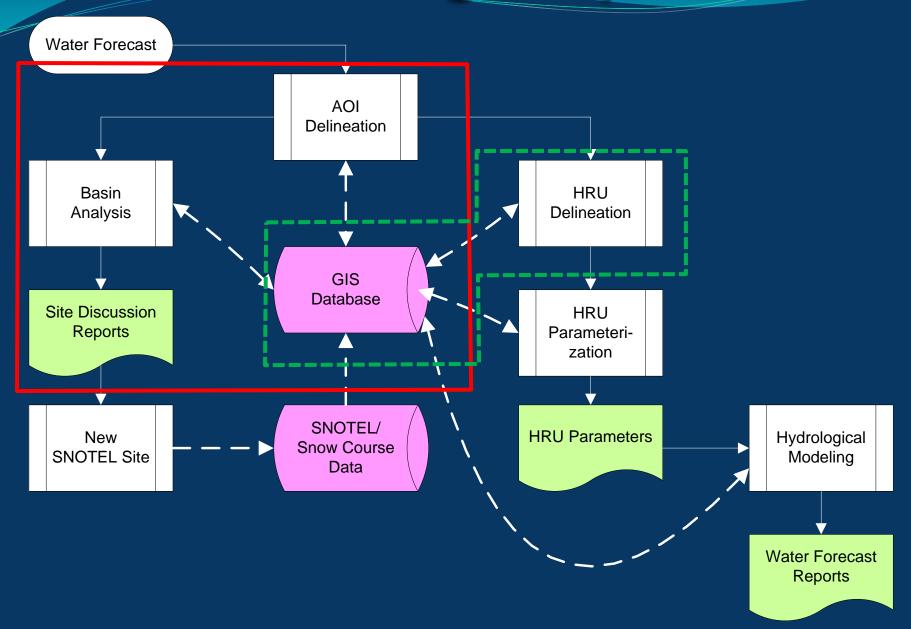
Outlines

- Water forecast at NWCC
- Snow survey and SNOTEL
- Basin Analysis GIS design and implementation
- BAGIS demonstration
- HRU Delineation GIS preview
- Conclusion

USDA-NRCS National Water & Climate Center

- Provides streamflow forecasts for over 740 points in the West.
- US West's high mountain ranges hold a vast snowpack that provides 50 to 80 percent of the year's water supply
- Factors affecting the amount of snowmelt runoff
 - Snowpack
 - Soil moisture
 - Ground water
 - Precipitation patterns / air temperature
 - Vegetation
 - Frequency of storm events

Water Forecasting Components



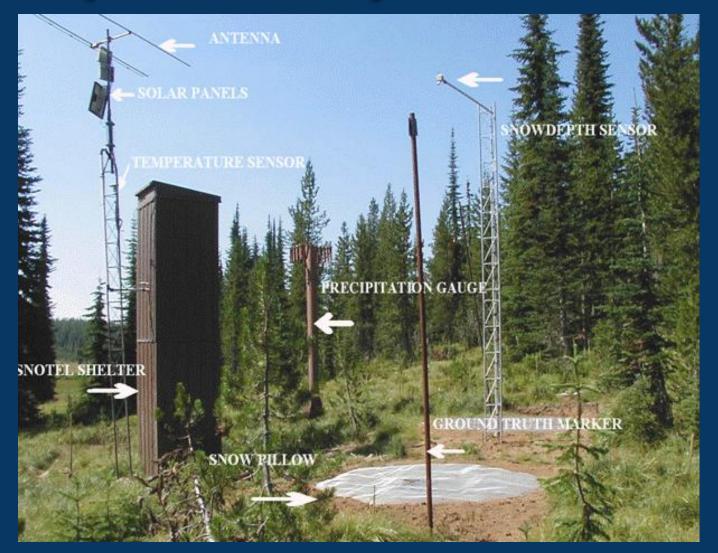
Snow Survey

- USDA-NRCS Cooperative Snow Survey Program (since 1935)
 - Manual data collection by snow surveyors
 - ~1,700 snow courses
- USDA-NRCS SNOTEL (since 1977)
 - Automated radio telemetry data collection
 - ~800 SNOTEL sites



Image source: USDA-NRCS

Snowpack survey - SNOTEL



 Craters Meadow SNOTEL -- 5,690 Feet -- Clearwater Basin (Image source: USDA-NRCS http://www.id.nrcs.usda.gov/snow/siteinfo/typical_snotel.html)

SNOTEL Site Selection Criteria

- Existing SNOTEL sites and snow courses
- Terrain variability
 - Aspect
 - Elevation
- Precipitation variability
- Soil
- Accessibility
 - Access road
 - Slope
 - Land ownership

Watershed (AOI) Delineation Steps

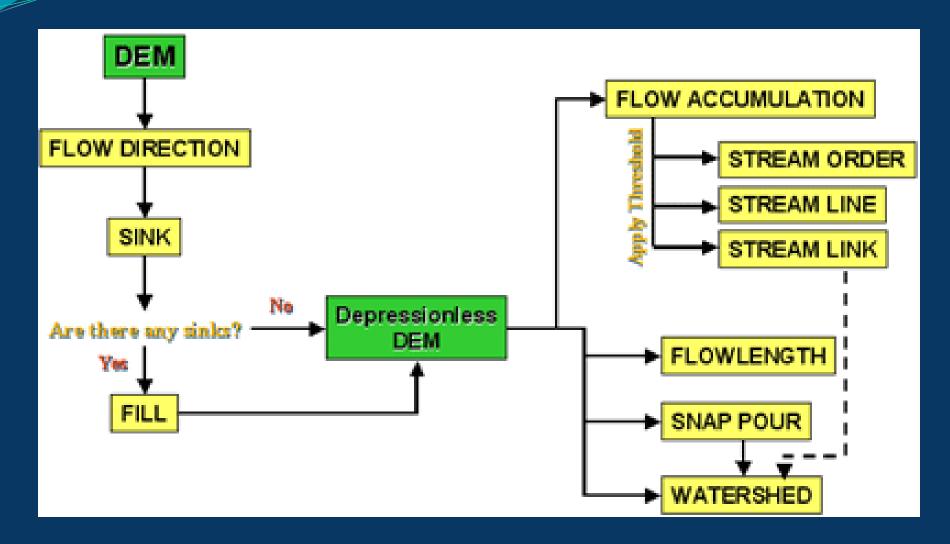
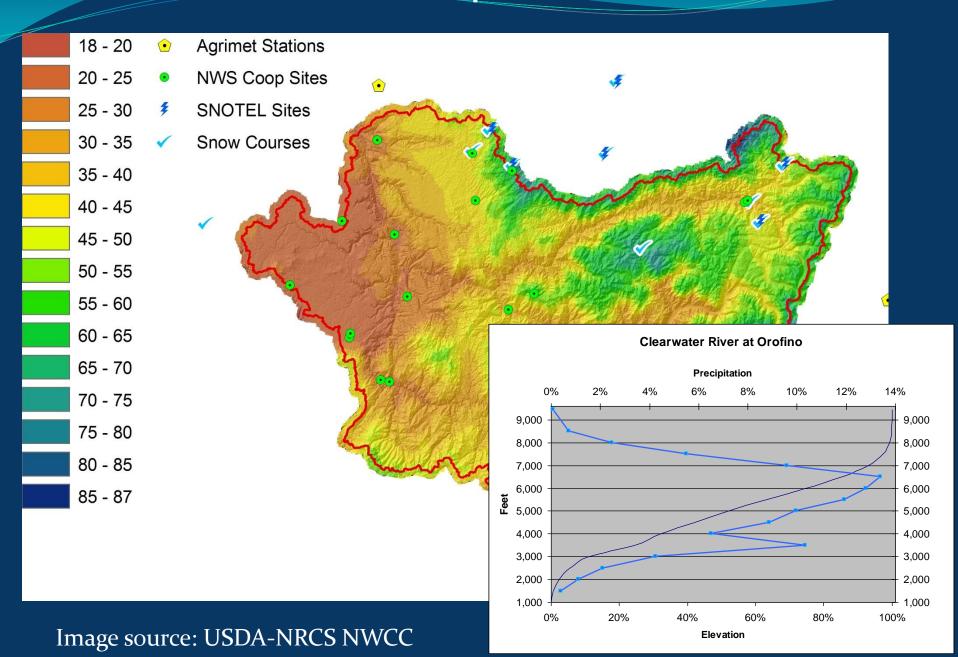
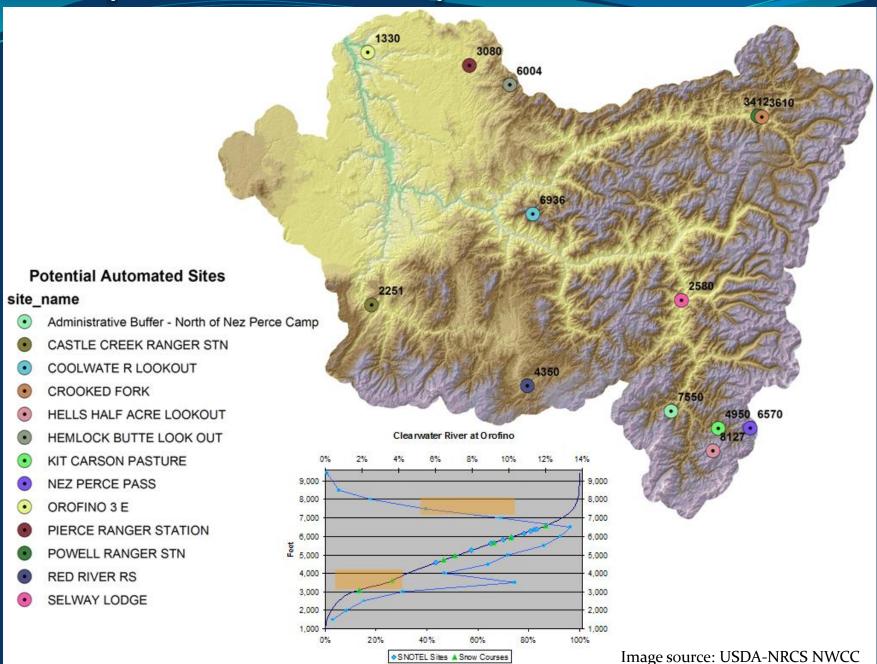


Image source: ESRI ArcGIS Online Help

PRISM Annual Precipitation Distribution



Example of Basin Analysis Outcome





The GIS Weasel!!

₫ GRID	
Message Board Displaying appropriate thematic layer	AOI Delineation Please choose a method for generating the Area of Interest (AOI). Digitize 'pour-point' to create a custom watershed as the AOI Use externally established AOI Raster C Vector
Grid: (pan_zoom, disp_dem.aml/49) gridp tmp_grid # linear nowrap gray Grid: (pan_zoom, disp_dem.aml/51) &s .area\$acov = output\surfaces\dem/filled/g rid Grid: (pan_zoom, disp_dem.aml/52) setwindow D:\NRCS\GIS\Basins\Basin_Demo\sant a fe r_0225\boutput\surfaces\dem/grid Grid: (pan_zoom, disp_dem.aml/53) &return Grid: (pan_zoom, disp_delin.aml/44) &else Grid: (pan_zoom, disp_delin.aml/44) &frid: (pan_zoom, disp_delin.aml/45) linecolor 4 Grid: (pan_zoom, disp_delin.aml/49) &frid: (pan_zoom, disp_delin.aml/49) &frid: (pan_zoom, disp_delin.aml/49) &frid: (pan_zoom, disp_delin.aml/52) &fr .FALSE. &then Grid: (pan_zoom, disp_delin.aml/53) &fr .FALSE. &then Grid: (pan_zoom, disp_delin.aml/55) &frid: (pan_zoom, disp_delin.aml/55) &frid: (pan_zoom, disp_delin.aml/57) &frid: (pan_zoom, pan_zoom_delin.aml/10) &frid: (pan_zoom, pan_zoom_delin.aml/10) &frid: (pan_zoom, pan_zoom.nenu/7) &frid: (pan_zoom, pan_zoom.nenu/7) &frid: (pan_zoom, pan_zoom.nenu/7) &frid: (pan_zoom, pan_zoom_delin.aml/4) &frid: (pan	Pan/Zoom View Control: Full View Zoom In Extent Zoom Out Refresh Pan Theme Control: Vector Control Background DEM Raster Control Composite Scale/N Arrow Miscellaneous: Command Line Quit Echo
X:4.95719 Y:8.00340 dx:-4.12468 dy:7.18982 Dist 8.28894	

BAGIS Development Goals

Compatibility

- NWCC SW/HW environment
- GIS Weasel

Integrity

- Data management
- Process

Efficiency

- Computation
- Workflow

Usability

- Interface
- Functionality

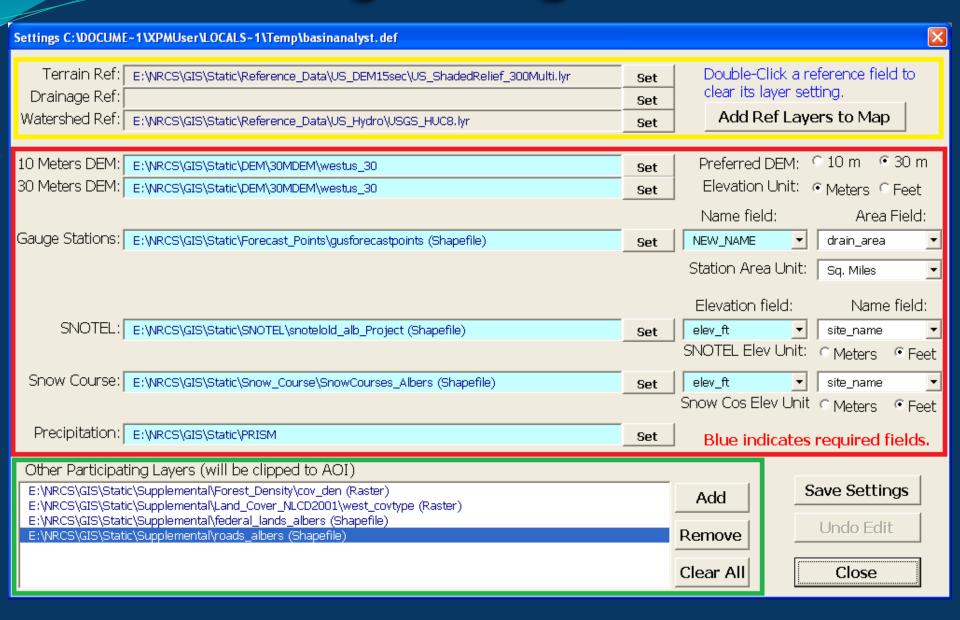
Hardware / Software Environment

- Hardware
 - Microsoft Windows-based PC
 - Fast CPU and disk drives
 - 4 GB of system RAM
 - Sufficiently large disk space
- Software
 - ESRI ArcGIS 9.X or later with Spatial Analyst extension and VBA activated
 - Microsoft Excel and Office 2007 or later
- BAGIS (V1 released: July 2010, Latest version: Ver1_F)
 - Distributed as a map template file (.mxt) in ArcGIS 9.X or as a map document (.mxd) in ArcGIS 10
 - Does not require installation

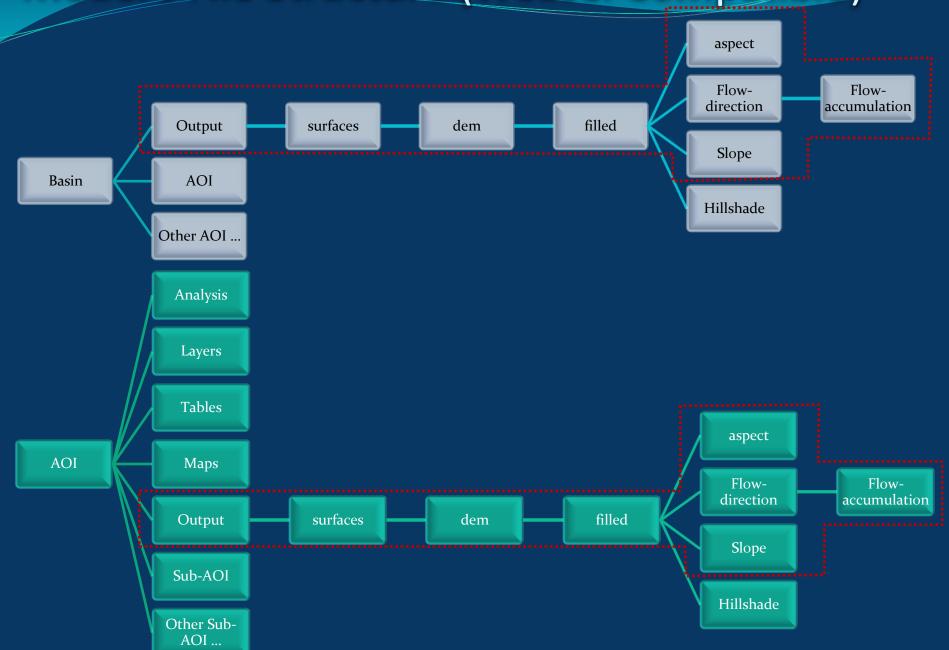
Input Data of BAGIS

- Input data categories
 - Digital Elevation Model DEM (ArcInfo grid)
 - Forecast point locations (point shapefile)
 - SNOTEL / Snow course locations (point shapefile)
 - PRISM precipitation (ArcInfo grid)
 - Reference maps (optional)
 - Other participating layers (optional)

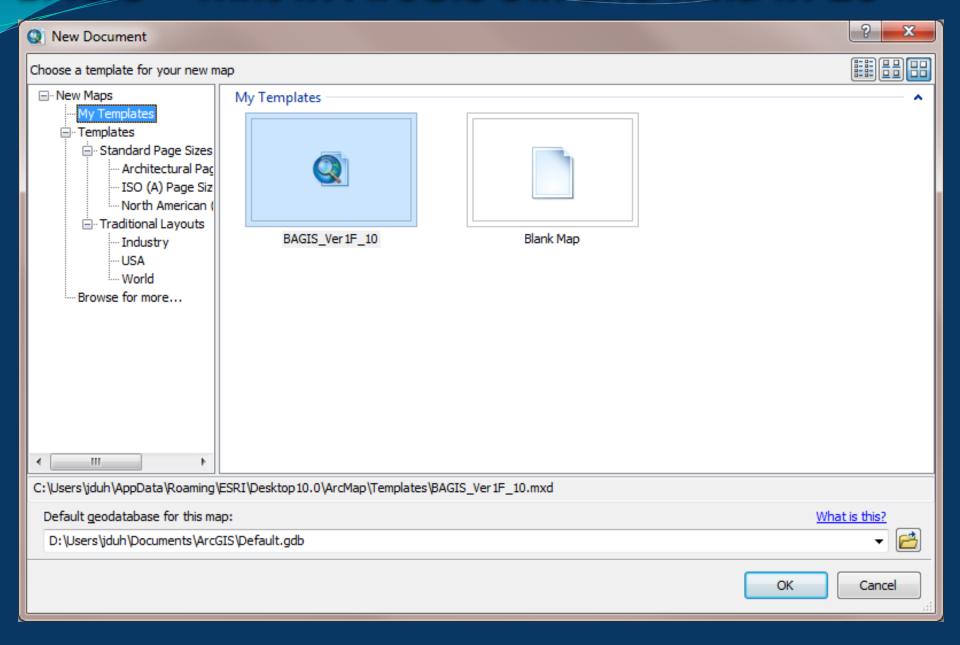
BAGIS Settings Dialog Window



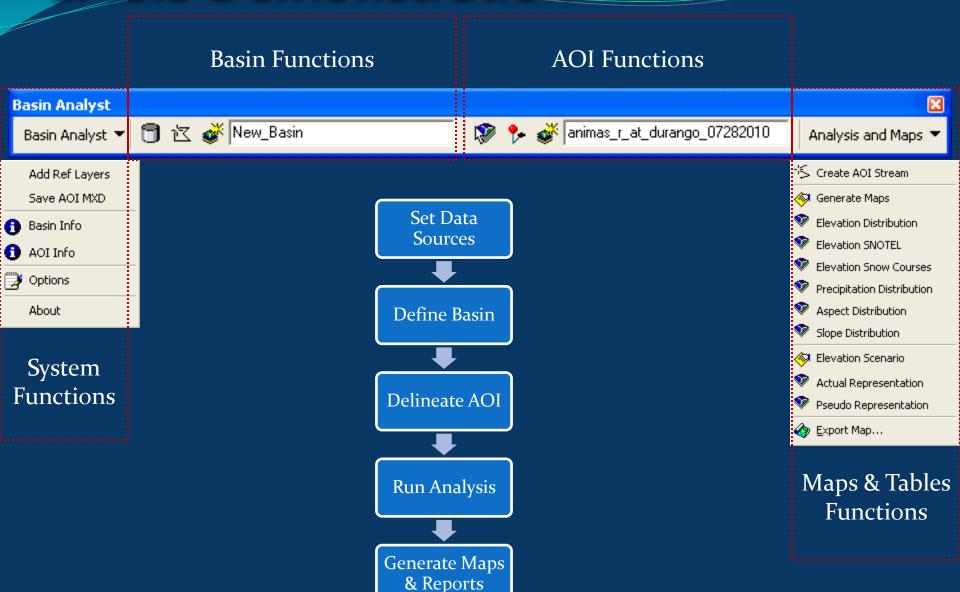
Model's File Structure (Weasel Compatible)



BAGIS - mxt in ArcGIS 9.x and mxd in 10

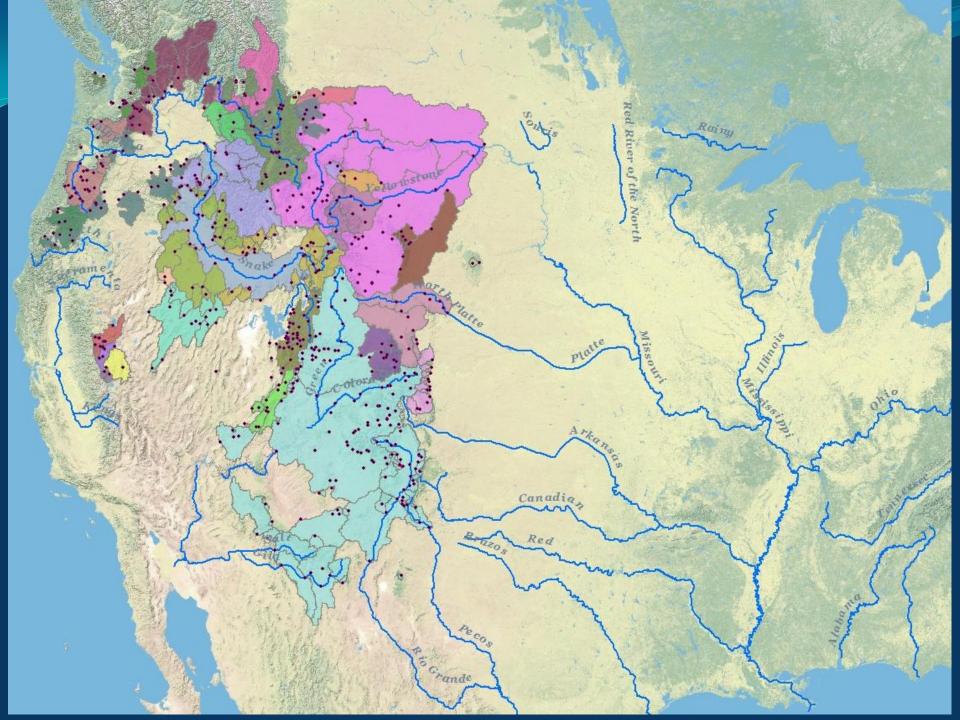


BAGIS Demonstration



Project Outcomes

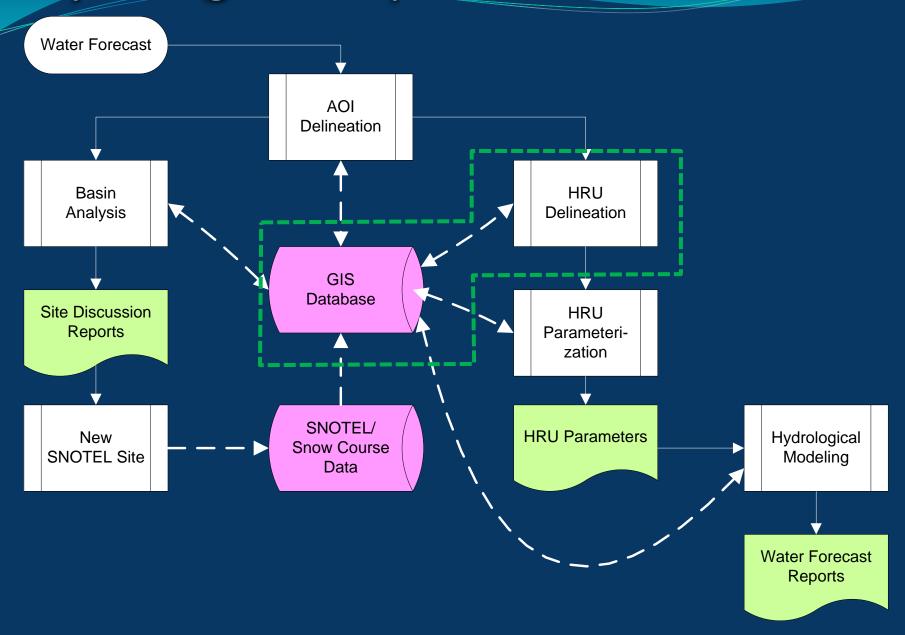
- BAGIS model (for ArcGIS 9.X and 10)
- Data compilation (22 different datasets with hundreds GB of data)
- Model execution (601 AOIs with 700 GB data)
- Final report BAGIS User's Manual
- BAGIS Website under construction



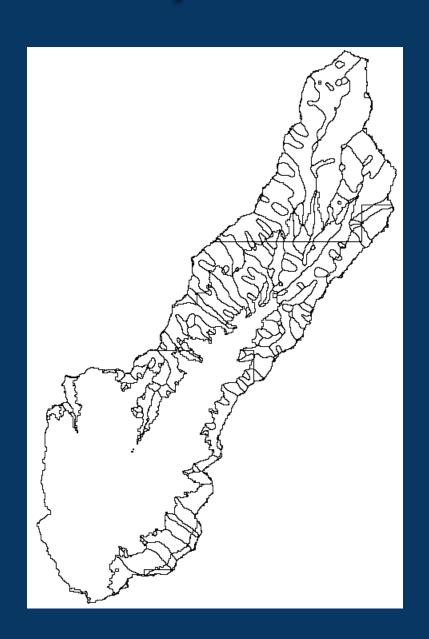
License Restrictions

- USDA has a nonexclusive license to copy, display, and perform BAGIS.
- USDA has the right to distribute BAGIS to any commercial, nonprofit, or government entity partnered with USDA through grant, contract, or collaboration agreement for the ongoing research and projects performed for or in conjunction with USDA.

Hydrological Response Unit Delineation



Hydrological Response Units (HRU)



HDGIS Key Features

- Define HRUs (HRU Delineation)
- Refine HRUs (HRU Post-processing)
- Data management module
- Process logging module

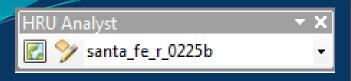
HRU Delineation Rule Types and Actions

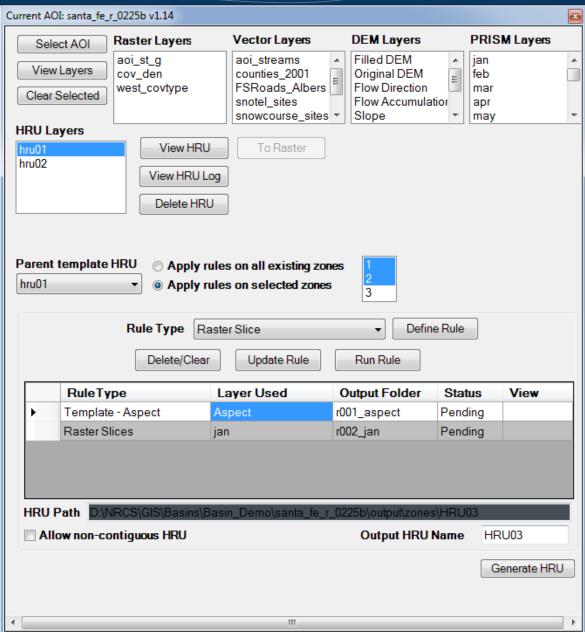
Rule Types	Actions
Contributing Area	Contributing area
Raster reclassification	None or Reclassification
Raster Slice	Slice – equal area/equal interval
DAFlow-Type Zones	Generate grid system
PRISM Precipitation	Customized precip slice
Template - Aspect	Reclassification and filtering
Template - Slope	Filtering, reclassification, filtering
Template - Canopy	Filtering, reclassification, filtering
Template - LULC	Reclassification and filtering
Template - Soil	Rasterizing, reclassification and filtering

HRU Post-processing Rule Types and Actions

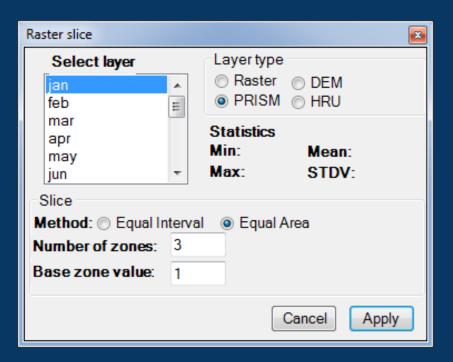
Rule Types	Actions
Eliminate	Eliminate HRU by area or by number
Cookie-Cut	Use a user layer to overwrite portions of HRU
Clustering	Group HRUs into user-specified number of types and dissolve adjacent HRUs that are of the same type.
Zonal statistics by HRU	Add stats to HRU attribute table
PRMS radiation plane attributes	Add slope and aspect stats to HRU attribute table

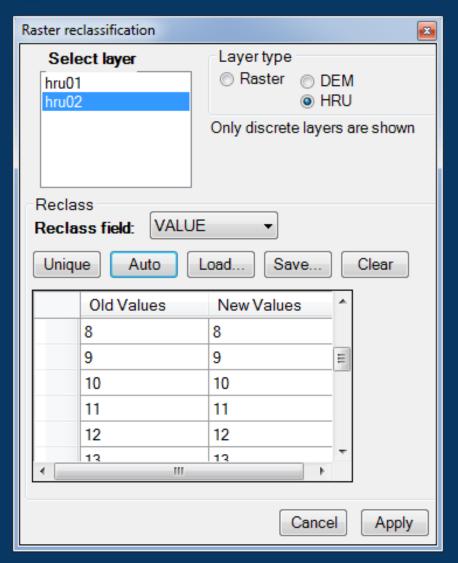
HRU Analyst Toolbar & HRU Delineation Dialog



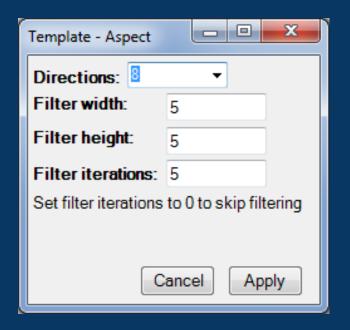


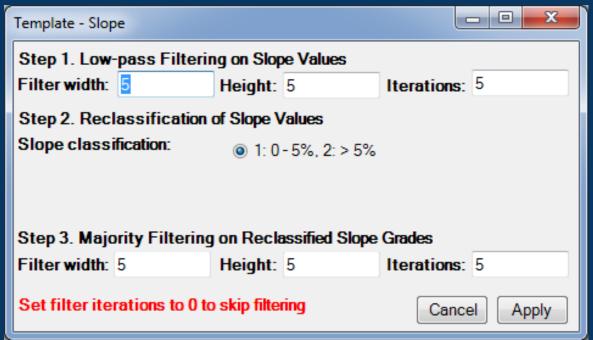
Rules for Defining HRU





Rules for Defining HRU (cont)





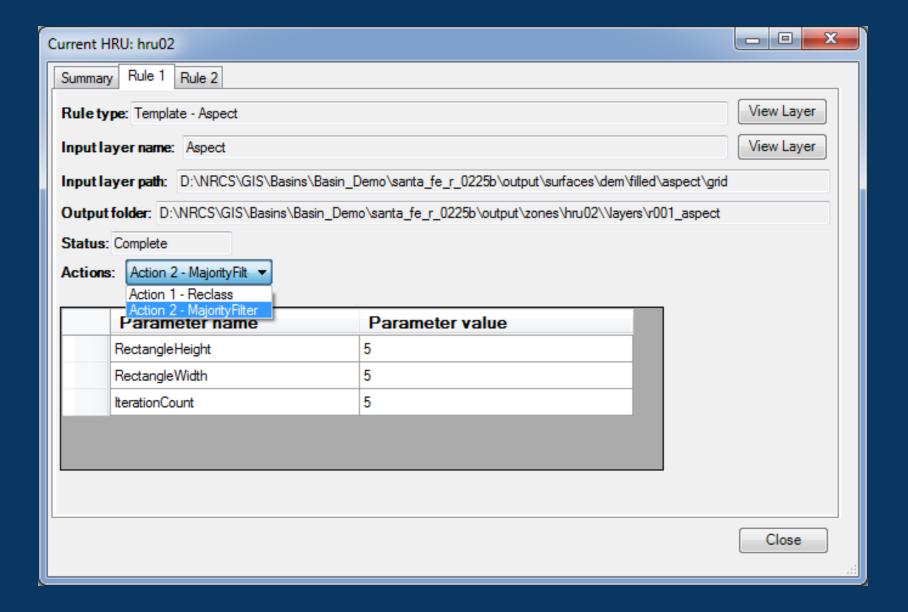
Process Log Viewer

Current HRU: hru02
Summary Rule 1 Rule 2
Aoi name: santa_fe_r_0225b
Aoi path: D:\NRCS\GIS\Basins\Basin_Demo\santa_fe_r_0225b
HRU name: hru02
HRU path: D:\NRCS\GIS\Basins\Basin_Demo\santa_fe_r_0225b\output\zones\hru02\
Parent template name: hru01 View HRU Log
Apply to parent HRU zones: 1, 2
Allow non-contiguous HRU: No
Units:
Polygon count 618
Average size: 0.076664
Maximum size: 19.48424
Date created: 3/25/2011 12:29 PM Application version: v1.14
Close
.ti

Tracking Parent HRU Log

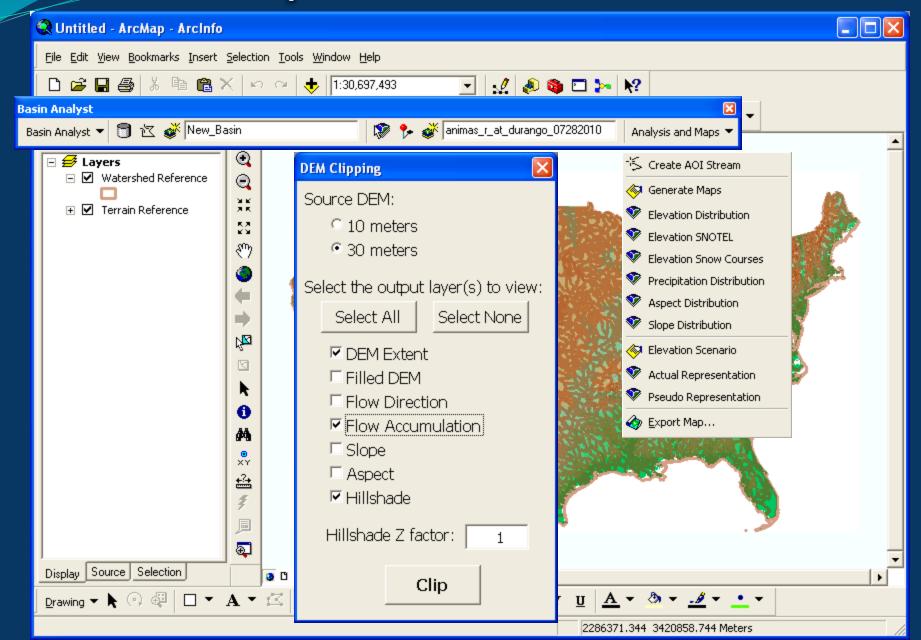
Current HRU: hru01	_ D X	
Summary Rule 1		
Aoi name: santa_fe_r_0225b		
Aoi path: D:\NRCS\GIS\Basins\Basin_Demo\santa_fe_r_0225b		
HRU name: hru01		
HRU path: D:\NRCS\GIS\Basins\Basin_Demo\santa_fe_r_0225b\output\zones\hru01\		
Parent template name:	View HRU Log	
Apply to parent HRU zones:		
Allow non-contiguous HRU: No		
Units:		
Polygon count 15		
Average size: 3.158576		
Maximum size: 19.48424		
Date created: 3/25/2011 12:27 PM Application version: v1.14		
	Close	

HRU Rules





BAGIS Graphic User Interface



Basin Analysis GIS

- 1. Basin analysis GIS model building BAGIS
 - Using Windows standard graphic user interface
 - Managing and organizing basin analysis data
 - Preparing terrain datasets for AOI delineation
 - Delineating AOI
 - Performing spatial computation
 - Generating maps and Excel reports
- GIS data compilation
- 3. Basin analysis model execution

BASINs and AOIs

Region	#AOIs	Disk Size (GB)
Great Basin	82	44.37
Humboldt_2	10	19.3
Koot_PO_Spok	48	87.3
Lower Columbia	29	9.16
Middle Columbia	25	9.56
Missouri/Platte	110	209.8
Puget_Sound	14	4.27
Snake River	87	125
South Coast	12	4.90
Upper Colorado	160	167.44
Upper Columbia	23	16.2
Walker Lake 2	1	2.77
Total	601	700.05

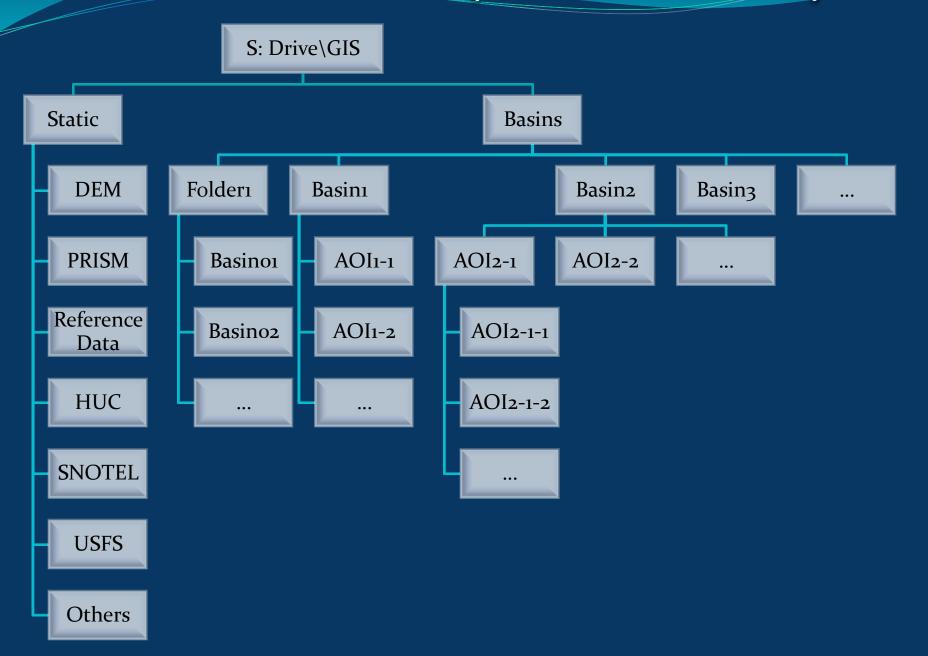
Organization of Input Data

- Input data requirements
 - Raster (Arcinfo grid); vector (ArcGIS shapefiles)
 - Some layers require predefined attributes (customizable at the system settings dialog window)
 - Input data do not need a mandatory organizing structure, except...
 - PRISM precipitation data
 - 12 monthly
 - 4 quarterly
 - 1 annual

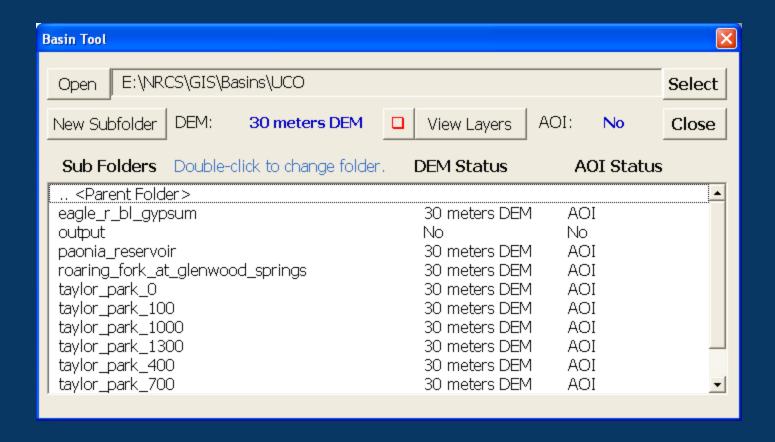
Organization of Output Data

- Output data categories
 - Basins basic unit for processing DEM for AOI delineation
 - Area of Interests (AOIs) basic unit for basin analysis
- Output data requirements
 - Raster (Arcinfo grid); vector (ArcGIS shapefiles)
 - Output data are compatible with GIS Weasel
 - Allow nested AOIs

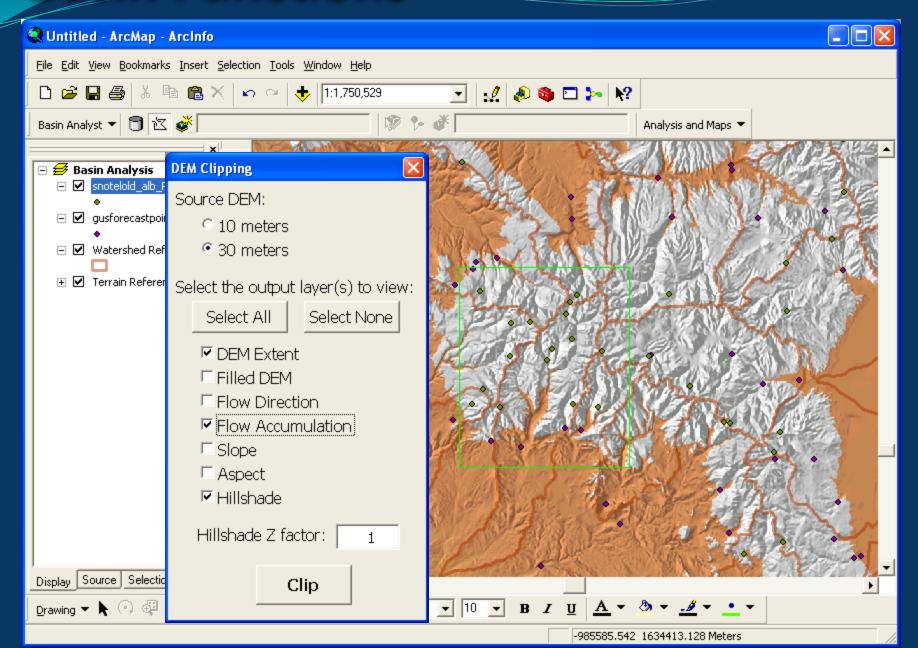
User's File Structure (Recommended)



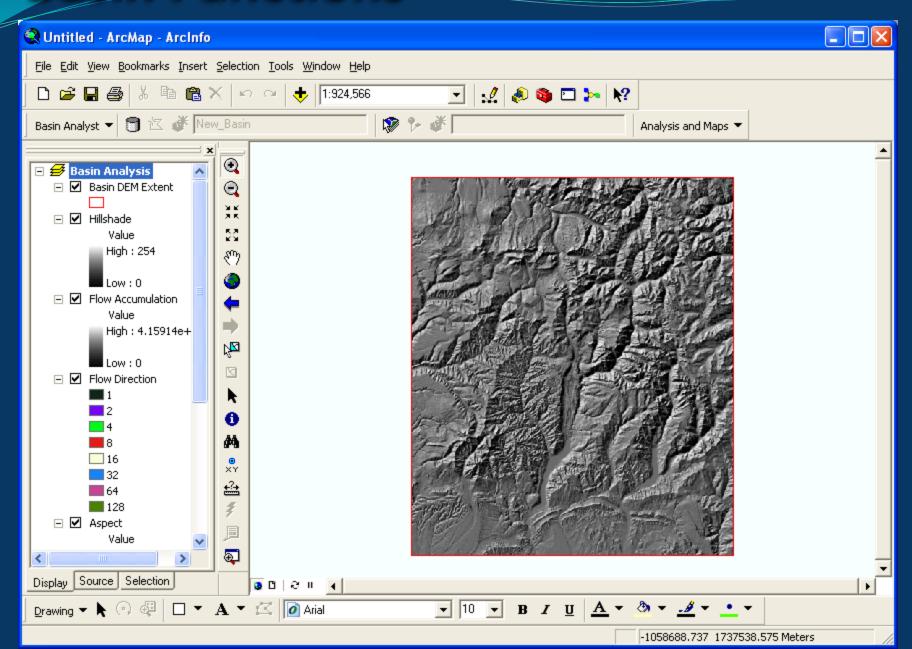
Basin Functions



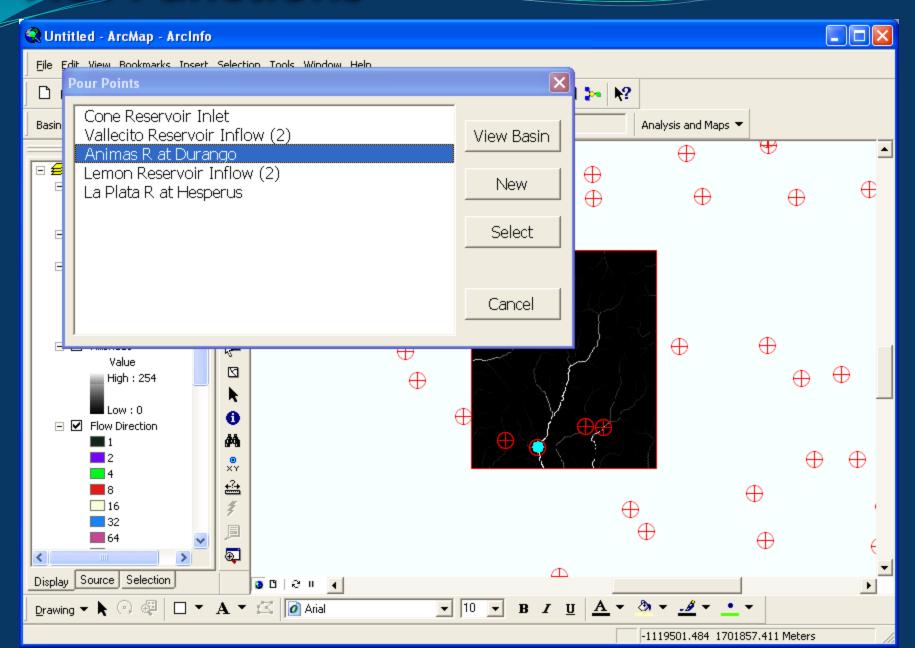
Basin Functions



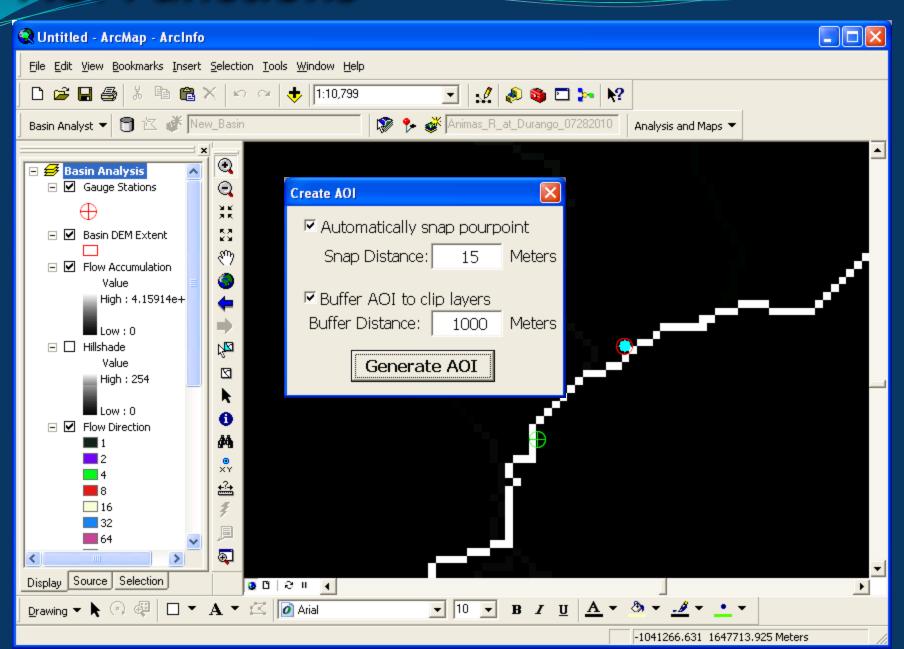
Basin Functions



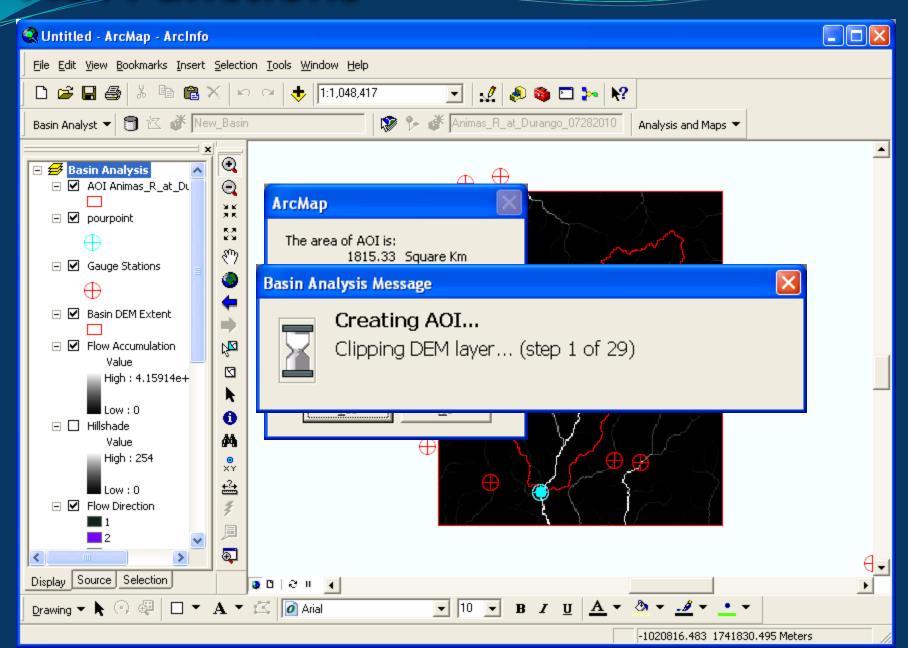
AOI Functions

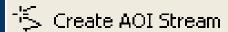


AOI Functions



AOI Functions







Elevation Distribution

Elevation SNOTEL

Elevation Snow Courses

Precipitation Distribution

Aspect Distribution

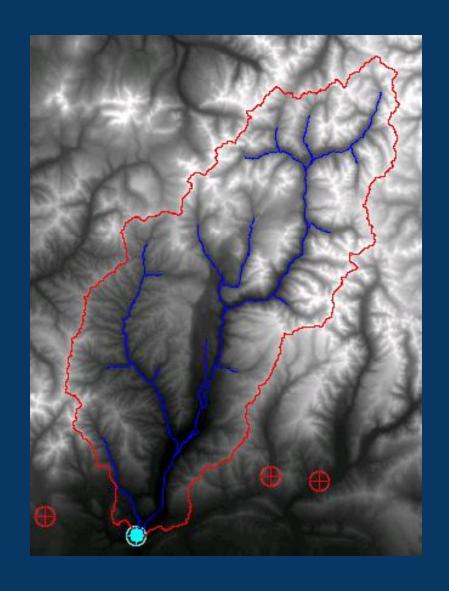
<section-header> Slope Distribution

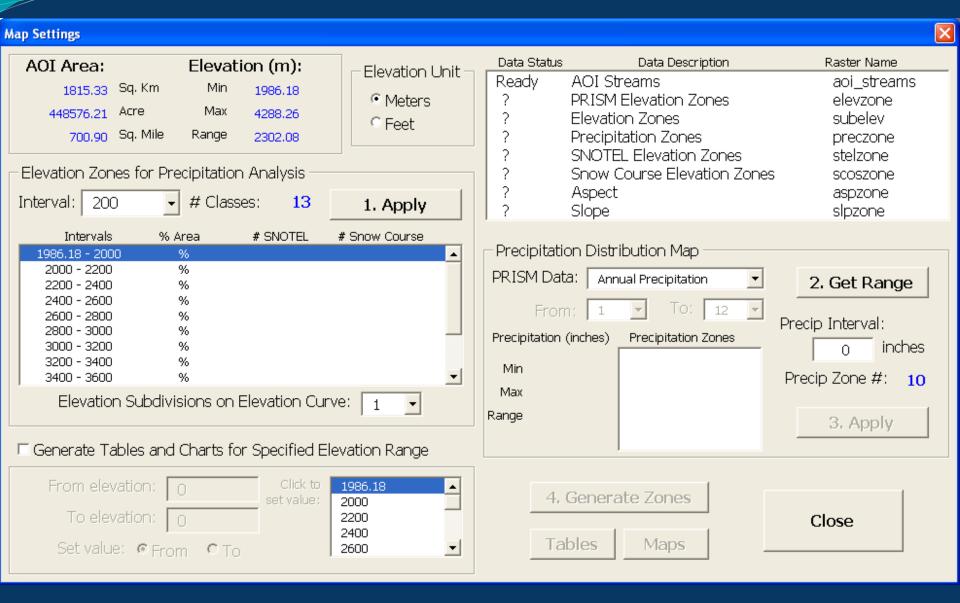
🤝 Elevation Scenario

Actual Representation

Pseudo Representation

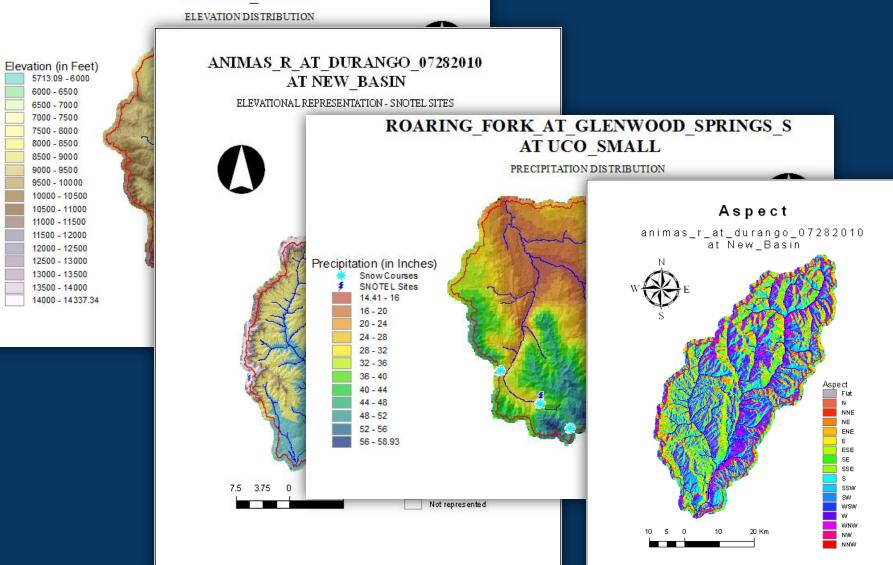
🏘 Export Map...

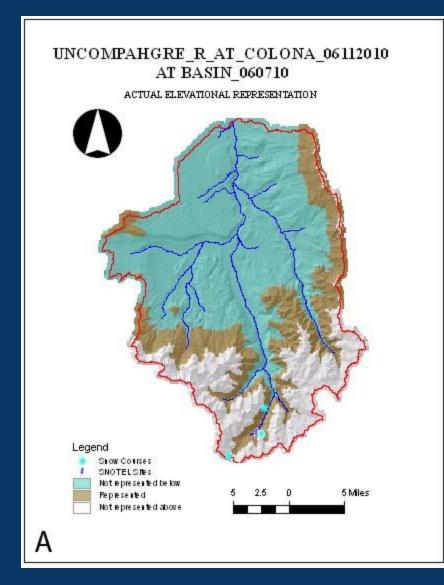


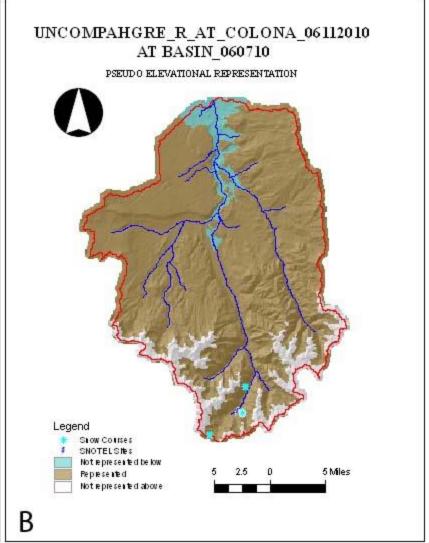


1	Α	В	С	D	E	F	G	Н	E	J	K
1	VALUE	COUNT	AREA	MIN	MAX	RANGE	MEAN	STD	SUM	%_AREA	Label
2	2272.87									0	
3	2367.2	1	874885.5	22.002985	22.002985	0	22.002985	0	22.002985	1.449275362	2313.5 - 2367.2
4	2421	1	874885.5	21.93291092	21.93291092	0	21.93291092	0	21.93291092	1.449275362	2367.3 - 2421
5	2474.8	1	874885.5	22.30121231	22.30121231	0	22.30121231	0	22.30121231	1.449275362	2421.1 - 2474.8
6	2528.6	14	12248397	22.76148415	24.65841293	1.896928787	23.51454544	0.532292604	329.2036133	20.28985507	2474.9 - 2528.6
7	2582.4	18	15747939	23.51667976	25.63058281	2.113903046	24.29165459	0.617766321	437.2497864	26.08695652	2528.7 - 2582.4
8	2636.2	13	11373512	23.74157524	27.04781532	3.306240082	25.11686897	0.865966797	326.5192871	18.84057971	2582.5 - 2636.2
9	2690	9	7873969.5	23.75693512	27.32259941	3.565664291	25.15503502	1.098495126	226.3953094	13.04347826	2636.3 - 2690
10	2743.8	3	2624656.5	26.39895058	27.86654472	1.467594147	27.36620712	0.68408972	82.09861755	4.347826087	2690.1 - 2743.8
11	2797.6	2	1749771	28.42652321	29.16862488	0.742101669	28.79757309	0.371050835	57.59514618	2.898550725	2743.9 - 2797.6
12 2851.4 2 1749771 29.83 Precipitation Distribution								7.7 - 2851.4			
13	2905.2	1	874885.5	30.086			•				1.5 - 2905.2
14	2959	1	874885.5	31.208	_		Precipitation (_	_	5.3 - 2959
15	3012.8	2	1749771	32.047	0 13.091 +	1 2	3	4 5	6	7 	9.1 - 3012.8
16	3228	1	874885.5	3/1 99	13.091					13713.091	4.3 - 3228
					13.091					12713.091	
				127	13.091			_			
				117	13.091					11713.091	eet
				5 107	13.091					- 10713.091	=
				97 97 97 107	3.0906					9713.0906	e vatio
					3.0906					8713.0906	5 w
				771	3.0906					- 7713.0906	5
				671	3.0906	_				- 6713.0906	5
				571	3.0906		ı	-	-	5713.0906	5
					0	20	40	60	80	100	
							Elevation Dis	stribution			
						Elevation	▲ Snow Course	▲ SNOTEL -	Precipitation		

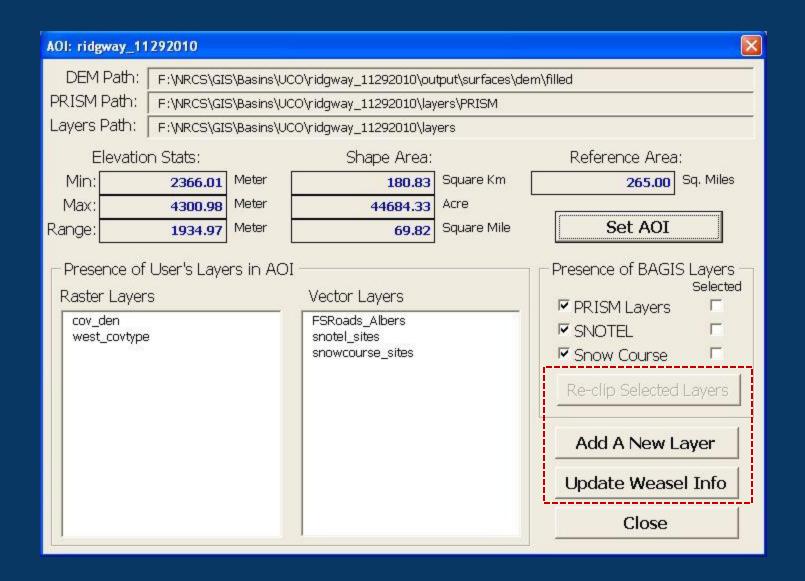
ROARING_FORK_AT_GLENWOOD_SPRINGS_S AT UCO_SMALL







Other Tools



BAGIS Version 1

- Beta period: January June, 2010
- V1 initial release: July 4, 2010
- V1_B and V1_C: August, 2010
- V1_D: September 3, 2010
- V1_E: October 5, 2010
- V1_F: December 25, 2010 (scheduled)
- Testers:
 - NWCC: Jim Marron, Tom Perkins, Angus Goodbody, ...
 - PSU: Ray Henning
- Programmers:
 - Geoffrey Duh, James Manzione

Disclaimers

 THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS "AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE ARE DISCLAIMED. IN NO EVENT SHALL THE COPYRIGHT HOLDER OR CONTRIBUTORS BE LIABLE FOR ANY DIRECT, INDIRECT, INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING, BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES; LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER CAUSED AND ON ANY THEORY OF LIABILITY, WHETHER IN CONTRACT, STRICT LIABILITY, OR TORT (INCLUDING NEGLIGENCE OR OTHERWISE) ARISING IN ANY WAY OUT OF THE USE OF THIS SOFTWARE, EVEN IF ADVISED OF THE POSSIBILITY OF SUCH DAMAGE.

			Dec.	Dat
Tab	Eig	4	Data File N	File
1. Int			File F	File
2. Sys		Activ	File T	File
		Activ	Geor	Geo
3. Ins		Snov	Data	Data
- 1	- 1	DEN	NASA	Dov
		West	Down	Met
- 1	- 1	DEN	Meta	
4. Wa	Eigu	Alas		Proc
	ma	DEN	Proce	
5. Mc		Cana	1	
5		DEN	,	
- 1	5.3.3	West	3	
1		Fore	4	
1	Out s	1016	5	Attr
- 1	Withi snap	Fore	6	
6. Wc	User	Cove		
7. Usi	dese	HUC	Attri	
8. Cre	and	Bour		
	User	Land Fede		
Apper	dese	Land	Thun	1 1
Appe	layer	India		
Apper	later	Land		
	clicki	Wild		1 1
- 1		Nati Roa		
		Nati		
- 1		Stre	2)	
- 1		Nati	0	Thur
		Wat		
- 1		PRI		
		& m SNO	0	
		exis		
		SNO	Dian.	4
		Pro		
	mess	Soil	1	
	5.25).	USI	3	
	refere	Roa	d	
	to Se	USC	3 .	
		(For	re	

Appendix B. Table columns in Excel spreadsheets generated in Basin

Sprea	rich	- 1	Arna
- 12	Malleet	Name .	
E:		ind we	Acm-

rield Name	Aspect	
DIRECTION	ourd IAbe	Description
DIRECTION	Number	Aspect
COUNT	Text	Text of direction code (corresponding
AREA	Number	Aspect direction code (corresponding to the DIRECTION text) Number of raster cells as the contract of the DIRECTION text)
MIN	Number	Area (see Area (
MAX	Number	Number of raster cells within each aspect direction Area (square meters) within each aspect direction Minimum aspect (degree – 0 for due
RANGE	Number	
MEAN	Number	Middlefull aspect (do-
STD	Number	Range of aspect (degree – 0 for due north) Average aspect (degree – 0 for due north)
	Number	Average aspect (degree – 0 for due north) Standard deviation of see
SUM	Number	Supplied deviation of aspect (degree 0.6
%_AREA	%	Standard deviation of aspect (degree – 0 for due north) Sum of aspect of all the cells in the interval (degree) Percentage of area of aspect dispersions
		Percentage of area of aspect directions

Spreadsheet Name: Area Elevations

Field Name	Data Type	Possici
VALUE	Number	Description
COUNT	Number	Upper bound of elevation intervals in feet or meters
AREA	Number	
MIN	Number	Area (square meters) within each elevation interval Minimum elevation (feet or meters)
MAX	Number	Maximum elevation (feet or meters)
RANGE	Number	Range of elevation (feet or meters)
MEAN	Number	Average elevation (feet or meters)
STD	Number	Standard deviation
SUM	Number	Total elevation of all the cells in the interval
%_AREA	%	Percentage of area of the interval in AOI
%_AREA_ELV	%	Cumulative percentage of area
LABEL	Text	Label of the elevation interval

Spreadsheet Name: Elevation Curve See Area Elevations.

BAGIS Data Catalog

Data Name	Description	Data Type	Sources
Active COOP Sites	COOP Climate Stations	GIS Point	<u>NWCC</u>
Active/Inactive Snow Courses	Existing Snow Courses	GIS Point	<u>NWCC</u>
DEM 10 meters – Western US	USGS NED - 1/3 arc-second	GIS Grid/Raster	The National Map Seamless Server
DEM 30 meters - Alaska	ASTER Global Digital Elevation Map	GIS Grid/Raster	ASTER GDEM
DEM 30 meters - Canada	ASTER Global Digital Elevation Map	GIS Grid/Raster	ASTER GDEM
DEM 30 meters - Western US	USGS NED - 1 arc-second	GIS Grid/Raster	The National Map Seamless Server
Forest Density	Forest Density - From USGS Weasel	GIS Grid/Raster	<u>NWCC</u>
Forest Type / Land Cover	Forest Type - from USGS Weasel	GIS Grid/Raster	<u>NWCC</u>
HUC Basin Boundary	Hydrologic Unit Code Boundary	GIS Polygon	NOAA
Land Management: Federal	Federal lands/areas	GIS Polygon	The National Map Seamless Server
Land Management: Indian	Indian lands/areas	GIS Polygon	The National Map Seamless Server
Land Management: Wilderness	Wilderness lands/areas	GIS Polygon	The National Map Seamless Server
National Atlas -Roads	National Atlas Roads	GIS Line	The National Map Seamless Server
National Atlas -Streams	National Atlas Streams	GIS Polygon	The National Map Seamless Server
National Atlas -Waterbodies	National Atlas Waterbodies	GIS Polygon	The National Map Seamless Server
PRISM annual prep & monthly prep	800 meter precipitation data	GIS Grid/Raster	
SNOTEL sites - existing	Existing SNOTEL sites	GIS Point	<u>NWCC</u>
SNOTEL sites - Proposed	Proposed SNOTEL Sites	GIS Point	<u>NWCC</u>
Soil	Soil Data - from USGS Weasel	GIS Polygon	NRCS
USFS Service Roads	Service roads in National Forests	GIS Line	http://svinetfc4.fs.fed.us/vectorgatewa
			<u>y/index.html</u>
USGS Gauges (Forecast points)	USGS stream gauges	GIS Point	<u>NWCC</u>

DEM 30 Meters – Western US

